

# A More Accurate Analysis and Design of Coaxial-to-Rectangular Waveguide End Launcher

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**Abstract**—A new, more accurate electromagnetic model is developed for the analysis of the coaxial-to-rectangular waveguide transition of the end-launcher type. As an alternative to the well-known modeling via a coupling loop, the new model describes the coupling mechanism in terms of an excitation probe which is fed by a transmission line intermediate section. The two models have a few analytical steps in common, but expressions of the probe model are easier to derive and compute. The two models are presented together with numerical examples and experimental verification. The superiority of the probe model is illustrated, and a design method yielding a maximum *VSWR* of 1.035 over 13 percent bandwidth is outlined.

## I. INTRODUCTION

IN MANY APPLICATIONS, the end-launcher transition (Fig. 1) is a preferred choice over other types of coaxial-to-waveguide transitions. Examples are encountered where the collinearity of the coaxial line and the waveguide is imposed by antenna feeder design requirements, or where a large number of such transitions are to be optimally arranged in a limited space, as in phased array antenna systems.

This type of transition, which converts the coaxial TEM mode into a waveguide dominant mode, has been analyzed before. Utilizing an electromagnetic model of loop coupling, Deshpande *et al.* obtained an expression for the input impedance of the rectangular [1] and circular [2] waveguide cases. However, such a loop coupling model, as will be verified, has limited accuracy and is valid only for loops of small size.

In this paper, we present a more accurate electromagnetic model of the subject transition. Instead of loop coupling, the new model considers the region  $0 \leq z \leq L_1$  as merely a transmission line intermediate section feeding a probe *BC*, which excites the waveguide. The analyses of these loop and probe coupling models are presented in Sections II–IV for the rectangular waveguide case. In Section V, the range of validity of each model is illustrated numerically and verified experimentally. In Section VI, design methods and applications are demonstrated, and Section VII is a conclusion.

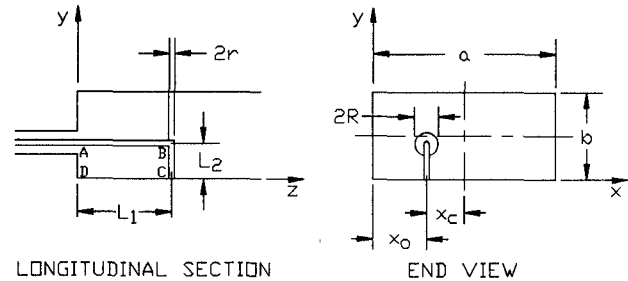


Fig. 1. End-launcher coaxial-to-rectangular waveguide transition.

## II. THE LOOP COUPLING MODEL

The loop coupling approach, explained in [1], is outlined here because it has a few steps in common with the new probe coupling model. Details of the analysis, however, will not be repeated except when needed to construct the probe coupling model, or when a major difference from [1] occurs, e.g., our use of the Coulomb, rather than Lorentz, vector potential.

Starting with the stationary formula [3] for the input impedance at  $z = 0$  in Fig. 1,

$$Z_{in} = -\frac{1}{I_{in}^2} \int_V \mathbf{E}_{ABC} \cdot \mathbf{J}_{ABC} dV \quad (1)$$

a closed-form solution for  $Z_{in}$  is obtained through the following three steps. First, arm *ABC* is assumed to support a sinusoidal trial current density:

$$\mathbf{J}_{AB}(z) = \mathbf{a}_z \frac{I_0}{2\pi r} \cos k(L_1 + L_2 - |z|) \quad \text{along } AB \quad (2a)$$

$$\mathbf{J}_{BC}(y) = -\mathbf{a}_y \frac{I_0}{2\pi r} \cos ky \quad \text{along } BC \quad (2b)$$

where  $k$  is the free-space wavenumber and  $I_{in}$  is the input current at point *A*:

$$I_{in} = I_0 \cos k(L_1 + L_2). \quad (3)$$

Second, the electric field  $\mathbf{E}_{ABC}$  is derived from the vector potential through the special relation representing the Coulomb gauge:

$$\mathbf{E}_{ABC} = -j\omega \mathbf{A}_{ABC}. \quad (4)$$

This relation allows us to directly integrate Smythe's expression [4] of the three-dimensional vector potential

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caused by a  $z$ -directed current element at  $(x', y', z')$ , its image in the  $z = 0$  waveguide wall, and their infinite set of images in all other walls, i.e.,

$$\begin{aligned} A^z = & \int_{-L_1}^{L_1} I(z') dz' \sum_{m=1}^{\infty} \sum_{n=1}^{\infty} \frac{j2\mu}{k^2 ab \beta_{mn}} \sin(a'x') \sin(b'y') \\ & \cdot \left\{ j\pi \beta_{mn} \left[ a_x \frac{m}{a} \cos(a'x) \sin(b'y) \right. \right. \\ & \left. \left. + a_y \frac{n}{b} \sin(a'x) \cos(b'y) \right] \right. \\ & \left. - a_z k_{cmn}^2 \sin(a'x) \sin(b'y) \right\} e^{-j\beta_{mn}|z-z'|}. \end{aligned} \quad (5a)$$

Similarly, for a  $y$ -directed current element,

$$\begin{aligned} A^y = & \int_0^{L_2} I(y') dy' \sum_{m=1}^{\infty} \sum_{n=0}^{\infty} \frac{j2\mu}{(1+\delta_{0n})k^2 ab \beta_{mn}} \\ & \cdot \sin(a'x') \cos(b'y') \\ & \cdot \left[ a_x a' b' \cos(a'x) \sin(b'y) \right. \\ & \left. - a_y (k^2 - b'^2) \sin(a'x) \cos(b'y) \right. \\ & \left. - a_z j\beta_{mn} b' \sin(a'x) \sin(b'y) \right] e^{-j\beta_{mn}|z-z'|} \end{aligned} \quad (5b)$$

$$a' = m\pi/a \quad b' = n\pi/b \quad (5c)$$

where  $k_{cmn}$  is the cutoff wavenumber and  $\delta_{0n}$  is the Kronecker delta. By substituting from (2) in (5), performing the line integrations in (5), and then substituting in (4), an expression for the electric field is obtained. Because a given current element may excite only the modes that have an electric field along it, we note that TE modes are excited only by section  $BC$  of the loop, while TM modes are excited by both sections  $AB$  and  $BC$ .

Third, we substitute from (2)–(4) into (1) and perform the involved integrations to obtain (6)–(28) which, together with the equivalent circuit of Fig. 2, describe  $Z_{in}$ . The justification of this circuit is explained in [2] and [3], but the following is particular to this analysis. The reactive component  $jX_2$ , associated with higher order modes, is derived by considering the interaction between the current in section  $AB$  and the electric field excited by the full length of arm  $ABC$ , not just section  $AB$  as in [1] and [2]. Likewise, the interaction between the current in section  $BC$  and the electric field excited by arm  $ABC$  results in the resistive component  $R_{in}$  and the reactive component  $jX_3$ , both associated with the dominant mode, in addition to the reactive component  $jX_1$  associated with higher order modes. The derivation concludes in

$$Z_{in} = R_{in} + j(X_1 + X_2 + X_3) \quad (6)$$

$$R_{in} = p^2 S_1^2 Z_g \quad (7)$$

$$X_3 = p^2 S_1 C_1 Z_g \quad (8)$$

$$X_1 = \frac{120\pi}{kab} \left( \frac{I_0}{I_{in}} \right)^2 \sum_{m=1}^{\infty} S_6 S_{6r} \sum_{n=0}^{\infty} (X_{1nAB} + X_{1nBC}) \quad (9)$$

$(m, n) \neq (1, 0)$

$$X_{1nAB} = 2k_{cmn}^{-2} b' L_2 S_7 S_9 f_1 e^{-\alpha_{mn}(L_1+r)} \quad (10a)$$

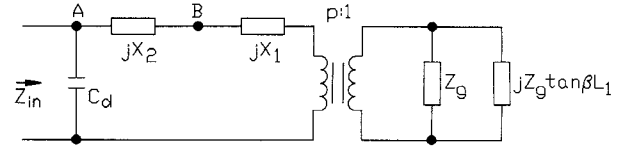


Fig. 2. Equivalent circuit of transition of Fig. 1.

$$X_{1nBC} = \frac{1 + \delta_{0n}}{4\alpha_{mn}} S_9^2 (k^2 - b'^2) L_2^2 (1 - e^{-2\alpha_{mn}L_1}) e^{-\alpha_{mn}r} \quad (10b)$$

$$X_2 = \frac{120\pi}{kab} \left( \frac{I_0}{I_{in}} \right)^2 \sum_{m=1}^{\infty} S_6 S_{6r} X_{2m} \quad (11)$$

$$\begin{aligned} X_{2m} = & -\frac{2b}{\pi} C_2^2 [K_0(a'r) - K_0(2a'L_2)] \\ & + \sum_{n=1}^{\infty} (X_{2nAB} + X_{2nBC} + X_{2ns}) \end{aligned} \quad (12)$$

$$X_{2nAB} = 2S_7 S_{7r} f_2 \alpha_{mn} / k_{cmn}^2 \quad (13)$$

$$X_{2nBC} = b' L_2 S_7 S_9 f_3 \alpha_{mn} / k_{cmn}^2 \quad (14)$$

$$\begin{aligned} X_{2ns} = & -\frac{2b}{\pi} C_2^2 \{ K_0[a'(2nb+r)] + K_0[a'(2nb-r)] \\ & - K_0[2a'(nb+L_2)] - K_0[2a'(nb-L_2)] \} \end{aligned} \quad (15)$$

$$Z_g = \omega\mu/\beta \quad (16)$$

$$p^2 = \frac{2}{k^2 ab} \left( \frac{I_0}{I_{in}} \right)^2 S_4 S_{4r} S_2^2 \quad (17)$$

$$f_1 = kS_3 - kS_2 \cosh \alpha_{mn} L_1 + \alpha_{mn} C_2 \sinh \alpha_{mn} L_1 \quad (18)$$

$$\begin{aligned} f_2 = & \frac{2k}{\alpha_{mn}} S_3 \left( C_3 + \frac{kS_3}{\alpha_{mn}} \right) + \left( \frac{kS_2}{\alpha_{mn}} \right)^2 \\ & + f_4 \left( f_4 - \frac{4kS_3}{\alpha_{mn}} \right) + C_2^2 \left( \frac{k_{cmn}}{\alpha_{mn}} - 1 \right) \end{aligned} \quad (19)$$

$$\begin{aligned} f_3 = & e^{-\alpha_{mn}L_1} (2C_3 - f_4) + \frac{k}{\alpha_{mn}} S_2 \\ & + C_2 \left( \frac{2}{b'L_2} C_2 \frac{S_7}{S_9} \frac{k_{cmn}}{\alpha_{mn}} - 1 \right) \end{aligned} \quad (20)$$

$$f_4 = e^{-\alpha_{mn}L_1} \left( C_2 + \frac{kS_2}{\alpha_{mn}} \right) \quad (21)$$

$$S_1 = \sin \beta L_1, \quad C_1 = \cos \beta L_1 \quad (22)$$

$$S_2 = \sin kL_2, \quad C_2 = \cos kL_2 \quad (23)$$

$$S_3 = \sin k(L_1 + L_2), \quad C_3 = \cos k(L_1 + L_2) \quad (24)$$

$$S_4 = \sin \frac{\pi x_0}{a}, \quad S_{4r} = \sin \frac{\pi}{a} (x_0 - r) \quad (25)$$

$$S_6 = \sin a'x_0, \quad S_{6r} = \sin a'(x_0 - r) \quad (26)$$

$$S_7 = \sin b'L_2, \quad S_{7r} = \sin b'(L_2 + r) \quad (27)$$

$$S_9 = \frac{\sin(b'-k)L_2}{(b'-k)L_2} + \frac{\sin(b'+k)L_2}{(b'+k)L_2} \quad (28)$$

It is worth mentioning that the series in (12) is a transformation of what was originally a series with prohibitively slow convergence. The transformation is analogous to that of [5, eq. (5.63)].

### III. THE PROBE COUPLING MODEL

In contrast to the loop coupling approach, the probe coupling one makes explicit the role of the TEM mode in the transition region  $0 \leq z \leq L_1$  (Fig. 1). This is done by considering section  $BC$  as a probe which, while exciting the rectangular waveguide, is itself fed by a coaxial intermediate section having  $AB$  as its inner conductor. By intuition, the significance of the TEM mode should increase as  $L_1$  increases. Because it ignores the TEM mode, the loop coupling model is valid only for small loops. In the probe coupling model, therefore, the coupling between the coaxial line and the waveguide is accomplished in two stages. The first stage affects only the coaxial outer conductor, which, upon transformation into a rectangular shape at  $z = 0$ , presents to the coaxial line a shunt capacitance  $C_d$  (Fig. 2), while still supporting the TEM mode in the rectangular coaxial line section  $0 \leq z \leq L_1$ . The second stage is the coupling between the rectangular coaxial line and the rectangular waveguide. This is achieved by probe  $BC$ , which excites the rectangular  $TE_{10}$  mode together with higher order modes.

According to the above description, the probe coupling model may be implemented through the following steps. The first step is to derive the input impedance at plane  $BC$ . From (1),

$$Z_{BC} = -\frac{1}{I_{in}^2} \int_V \mathbf{E}_{ABC} \cdot \mathbf{J}_{BC} dV \quad (29)$$

with  $\mathbf{J}_{BC}$  given by (2b), and  $I_{in}$  is now

$$I_{in} = I_0 \cos kL_2. \quad (30)$$

By deriving  $\mathbf{E}_{ABC}$  as shown above for the loop coupling model, (29) results in

$$Z_{BC} = R_{BC} + j(X_1 + X_3) \quad (31)$$

where  $R_{BC}$ ,  $X_1$ , and  $X_3$  are given by relevant equations in the set (7)–(28), but substituting  $I_{in}$  with (30).

In the second step, the impedance  $Z_{BC}$  is transformed along the rectangular coaxial line from plane  $BC$  to plane  $AD$  using the formula

$$Z_{in} = R_{in} + jX_{in} = Z_0 \frac{Z_{BC} + jZ_0 \tan kL_1}{Z_0 + jZ_{BC} \tan kL_1}. \quad (32)$$

With simple manipulation,

$$R_{in} = R_{BC} / D \quad (33)$$

$$X_{in} = \frac{1}{D} \left[ (X_1 + X_3) \cos 2kL_1 + \frac{Z_0}{2} (1 - G) \sin 2kL_1 \right] \quad (34)$$

$$D = \cos^2 kL_1 - \frac{X_1 + X_3}{Z_0} \sin 2kL_1 + G \sin^2 kL_1 \quad (35a)$$

$$G = \frac{R_{BC}^2 + (X_1 + X_3)^2}{Z_0^2} \quad (35b)$$

where  $Z_0$  is the characteristic impedance of a round wire displaced from the center of a rectangular outer conductor. To the author's knowledge, such an impedance has not been derived before, but an approximate expression may be borrowed from the trough line case [6], i.e.,

$$Z_0 = 138 \left[ \log \left( \frac{2b}{\pi} \tanh \frac{\pi x_0}{b} \right) - Z_1 \right] \quad (36)$$

$$Z_1 = \sum_{m=1}^{\infty} \log \frac{1 + U_m^2}{1 - V_m^2} \quad (37)$$

$$U_m = \sinh \frac{\pi x_0}{b} / \cosh \frac{m\pi a}{b} \quad (38)$$

$$V_m = \sinh \frac{\pi x_0}{b} / \sinh \frac{m\pi a}{x_0}. \quad (39)$$

Expression (36) yields accurate results in the limiting cases available in the literature, e.g., the round wire in a square outer conductor [7, p. 98]. Obviously, (36) may be replaced by a more accurate expression, if available, or by a numerically obtained value for  $Z_0$ , without affecting the integrity of this probe coupling model.

A comparison between (1)–(28) and (29)–(39) proves that the probe model expressions are simpler to derive and compute than those of the loop model. By eliminating the need to model the region  $0 \leq z \leq L_1$  in terms of a reactance  $jX_2$ , the probe model saves a substantial part of the derivation and computer time. As for accuracy, the probe model avoids the truncation error of the series in (11) and (12). Also, by excluding the sinusoidal trial current distribution (2a) along  $AB$  from the derivation of  $Z_{in}$ , the probe model has fewer approximations.

### IV. THE COAXIAL STEP DISCONTINUITY

As explained previously, the coaxial step discontinuity at  $z = 0$  (Fig. 1) may be represented by a capacitance  $C_d$  shunted to  $Z_{in}$  (Fig. 2). One approximate method of calculating  $C_d$  is by equating it to the capacitance due to a step in the outer conductor of a coaxial line, and taking the hypothetical radius  $r_o$  of the larger outer conductor such that its characteristic impedance  $Z_0$ , given by

$$Z_0 = 138 \log \frac{r_o}{r} \quad (40)$$

is equal to  $Z_0$  given by (36) for the actual rectangular coaxial line section. Curves useful in obtaining  $C_d$  are given in [7, p. 111].

### V. NUMERICAL EXAMPLES AND EXPERIMENTAL VERIFICATION

Two computer programs were developed to perform the numerical analyses associated with the above loop and probe coupling models. Each program computes the input impedance at the coaxial-to-waveguide junction for given design parameters  $a$ ,  $b$ ,  $r$ ,  $R$ ,  $L_1$ ,  $L_2$ , and  $x_0$  and frequency.

The transition models experimentally tested were basically two, but with adjustable dimensions to allow for measurement of different combinations of design parameters.

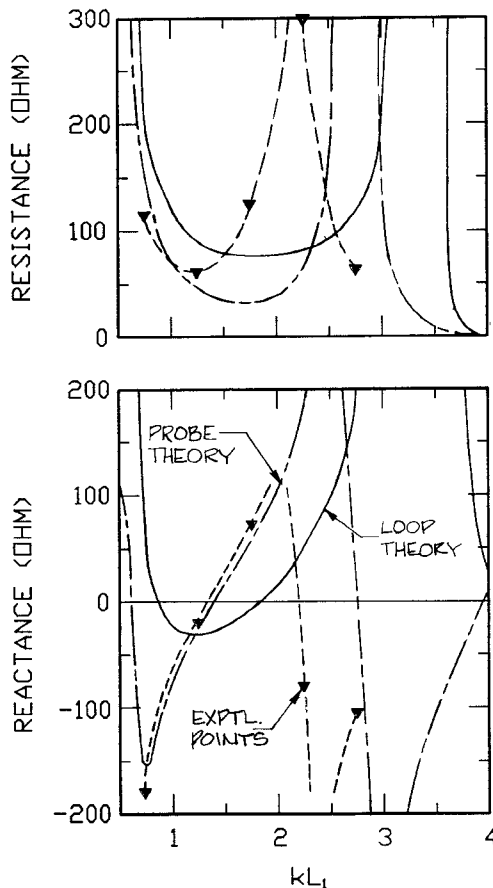


Fig. 3. Input impedance of a coaxial-to-WR229 transition. Comparison between two theoretical models and experiment.  $L_2/b = 0.5$ ,  $2r = 0.174$  in.,  $x_c = 0$ , frequency = 3.95 GHz.

ters. Each of the two test models comprised a WR229 ( $2.29 \times 1.145$  in.<sup>2</sup>) connected to a 50  $\Omega$  coaxial line via a conductor  $ABC$  with  $L_2/b = 0.5$ ,  $x_c = 0$  or 0.473 in. and  $L_1$  variable (Fig. 1). The inner and outer diameters of the coaxial were 0.174 and 0.398 in. in one model, and 0.341 and 0.784 in. in the other. To enable  $L_1$  to vary in increments, an array of holes was tapped in the waveguide wall  $y = 0$ , and the metal post  $BC$  was firmly joined to the sliding inner conductor  $AB$  and the waveguide wall at  $C$ , via screws secured internally.

Fig. 3 shows a comparison between the loop and probe theories and experimental results for a transition having  $L_2/b = 0.5$ ,  $2r = 0.174$  in., and  $x_c = 0$ . The step discontinuity (presented in Section IV) is not included in the computations of Fig. 3 in order to examine how the two theoretical models compare on their own and to allow a fair comparison between the probe model and the loop models of [1] and [2], which did not account for such a step discontinuity.

In Fig. 3 both theoretical approaches offer good qualitative predictions of the input impedance over a substantial range of  $kL_1$ . Compared to the loop theory, however, the probe theory is of greater quantitative agreement with experiment throughout the shown range of  $kL_1$ . Of particular importance is that the probe theory is in much closer agreement with experiment in the range  $kL_1 = 0.9$  to 1.4,

where impedance matching can be achieved because  $R_{in}$  is in the range 50–75  $\Omega$ , commonly chosen for coaxial lines, and  $X_{in}$  is close to naught. It is also noticeable that the accuracy of the loop theory deteriorates considerably as the loop size increases. For example the reactance vanishes experimentally at  $kL_1 = 1.3$  and 2.2, by the probe theory at  $kL_1 = 1.37$  and 2.7, and by the loop theory at  $kL_1 = 1.85$  and 4.2.

In view of the above, one may consider the possibility that the excellent agreement between the loop theory and experiment reported in [1] is not general but rather is particular to that  $L_1$  and frequency. This possibility is also supported by the observation that at  $kL_1 = 2.6$  (Fig. 3) our loop theory curves intersect with the experimental curve of the resistance and the inversion of the reactance, thus resulting in a misleadingly accurate  $VSWR$ . This could be the case with the loop theory of [1] as well. (Note that  $kL_1 = 2.6$  is close to the center of their range of excellent agreement.) This possible particularity of the results in [1] is revealed because the comparison given here between theory and experiment is performed for the input impedance over a few octaves, rather than for  $VSWR$  over a 32 percent bandwidth as in [1].

Experimental verification of the probe theory is once again illustrated in Fig. 4. This time it is an offset transition,  $x_c/a = 0.191$ ,  $L_2/b = 0.5$ , and  $2r = 0.341$  in.

Adding the contribution of the coaxial step discontinuity, via the procedure of Section IV, consistently improves the agreement between theory and experiment. This is also true for the loop theory (not shown). It is now clear that the probe theory can predict optimum  $L_1$  (that which results in a prescribed  $Z_{in}$ ) with a maximum error of 0.15 in. (i.e.,  $0.05 \lambda_0$  or  $0.88r$ ) while maintaining exact prediction of both  $L_2$  and  $x_c$ . This is valid for both the resistance and the reactance, especially in the useful design range  $R_{in} = 50$ –75  $\Omega$  and  $X_{in} = 0$ .

The magnitude of such an agreement is indeed excellent, since the difference between theory and experiment is less than the radius of the probe. This may mean, among other explanations, that the effective length of  $AB$  is somewhere between  $L_1$  and  $L_1 + r$ , a phenomenon always associated with loops and probes made of conductors with finite radii.

## VI. DESIGN APPLICATIONS

Having obtained such an accurate probe theory for the end-launcher coaxial-to-rectangular waveguide transition, the complete accurate design of such a waveguide transition is now possible. Specifically, theoretical curves of input impedance, such as those in Fig. 5 can be utilized to generate exact optimum values for  $a$ ,  $b$ ,  $L_2$ ,  $x_c$ ,  $r$ , and  $R$ , and a value for  $L_1$  which is within  $r$  from its exact optimum value. Adjusting  $L_1$  empirically for improved performance over the required frequency band and using a tuning post parallel to  $BC$  are common practices in the industry, especially because such a step is usually required to compensate for manufacturing tolerances.

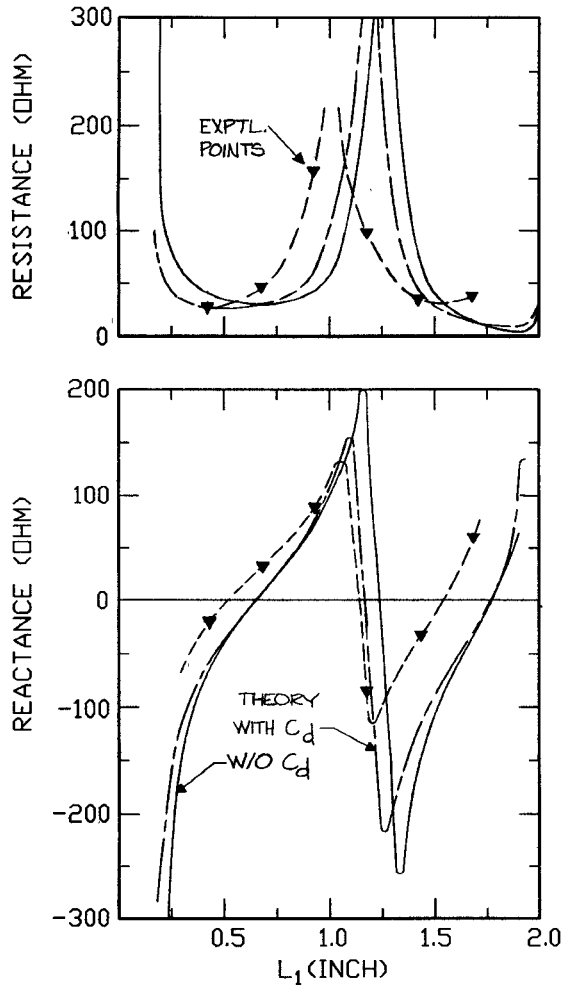


Fig. 4. Input impedance of a coaxial-to-WR229 transition. Comparison between probe theory and experiment showing effect of step discontinuity capacitance  $C_d$ .  $L_2/b = 0.5$ ,  $2r = 0.341$  in.,  $x_c/a = 0.191$ , frequency = 3.95 GHz.

Depending on the application, a variety of design criteria and strategies may be employed, two of which are explained below.

#### A. Perfect Match at Midband

For narrow-band applications, a perfect match at midband may be selected as a design criterion. This requires simultaneous fulfillment at midband of two conditions:

$$R_{in} = Z_x \quad X_{in} = 0 \quad (41)$$

where  $Z_x$  is the coaxial line characteristic impedance. From curves such as in Fig. 5, one can generate the curves of Fig. 6, which describe the locus of the combination  $(L_1, L_2, x_c)$  that simultaneously satisfies the two conditions of (41). An important finding is that the coaxial line has to be offset, in either the  $x$  or the  $y$  direction, in order to achieve perfect match at midband. This means that for impedance matching there must be a compromise in the mechanically desirable feature of a common axis for the coaxial and rectangular waveguides (e.g., to allow convenient rotation).

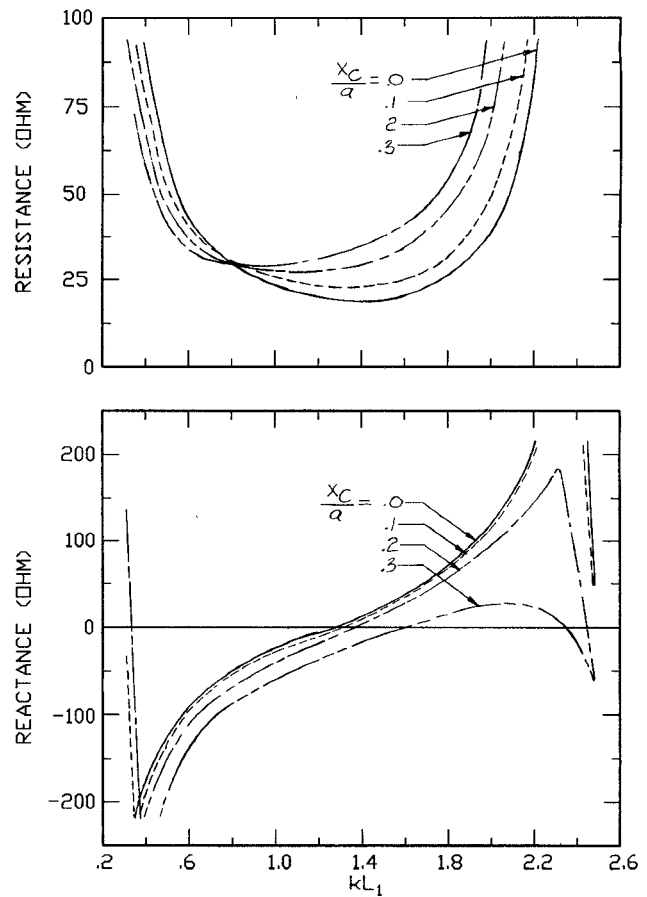


Fig. 5. Input impedance of a coaxial-to-WR229 transition:  $L_2/b = 0.5$ ,  $kr = 0.358$ .

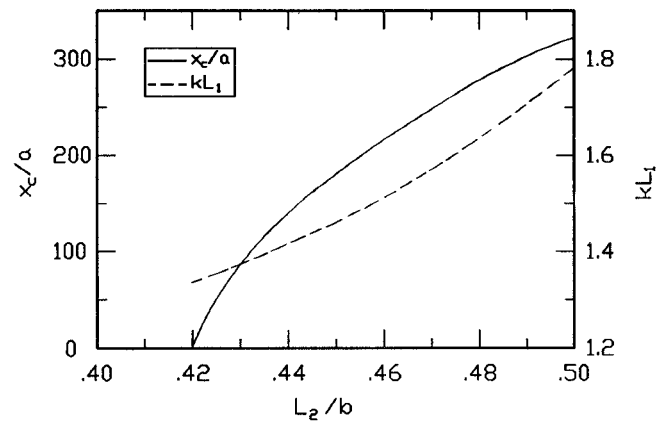


Fig. 6. Locus of  $kL_1$ ,  $L_2/b$ , and  $x_c/a$  which results in a perfectly matched transition between a 50  $\Omega$  coaxial and WR229 at 3.95 GHz.

#### B. Minimum VSWR over a Band

For wide-band applications, a minimum  $VSWR$  over a frequency range is normally preferred over the above method of a perfect match at midband. The variation of input impedance with  $L_1$  over the frequency band 3.7–4.2 GHz is given in Fig. 7 for a coaxial-to-WR229 transition with  $L_2/b = 0.442$  and  $x_c/a = 0.2$ . It is obvious that while an almost constant  $R_{in}$  over the band is achievable (at  $L_1 = 0.74$  in.), one can only aim at minimum variation in  $X_{in}$ . To achieve an optimum design, therefore, one

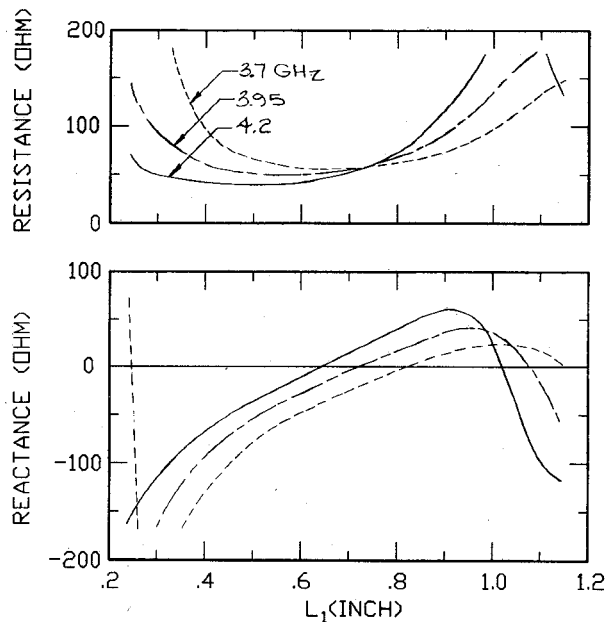


Fig. 7. Input impedance of a coaxial-to-WR229 transition over the 3.7–4.2 GHz band.  $L_2/b = 0.442$ ,  $x_c/a = 0.2$ , and  $2r = 0.341$  in.

should minimize the variation of  $VSWR$  over the operating frequency band using a standard optimization algorithm.

It is worth mentioning that by applying this design method (together with the probe theory and computer program), and adding one tuning post parallel to  $BC$ , it was possible to realize a transition with a  $VSWR$  better than 1.035 over the band 3.7–4.2 GHz [8].

## VII. CONCLUSION

A new electromagnetic model for the analysis of the coaxial-to-rectangular waveguide end launcher has been constructed. By analytical presentation and experimental verification, the new model was found to be easier to derive, to take less time to compute, and to be more accurate than a previous model. This allows the design to be put on a reliable quantitative basis.

The characteristics of the end launcher were illustrated by numerical and experimental examples, two design procedures were presented, and a transition exhibiting  $-35$  dB return loss over a 13 percent bandwidth was developed.

The new model applied in this paper to the coaxial-to-rectangular waveguide end launcher can readily be employed to analyze the coaxial-to-circular waveguide and the stripline-to-waveguide end launchers, thus providing more accurate and simpler solutions than those available in the literature [2], [9].

## POSTSCRIPT

At the time of manuscript submission, a flaw in the usage of the Coulomb gauge by Smythe [4] was uncovered by Michalski and Nevels [10]. The derivations of this paper

were already in agreement with [10] on this point as presented by (4), and also on their corrections of Smythe [10, footnote 7], which are incorporated in (5).

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